SEC Form 4

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

Estimated average b	
hours per response:	0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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1. Name and Address of Reporting Person [*] Gates Anne				suer Name and Ticke PESTRY, INC.			ymbol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
				<i>"</i>		-			X	Director	10% 0	Dwner	
(Last) 10 HUDSON Y	(First) ARDS	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 11/03/2021						Officer (give title below)	Other below	(specify)	
				Amendment, Date of	Original	Filed	(Month/Day/Y	6. Indi Line)	6. Individual or Joint/Group Filing (Check Applicable				
(Street) NEW YORK	NY	10001								Form filed by One Reporting Person			
(City)	(State)	(Zip)							Person				
		Table I - No	n-Derivative	Securities Acq	uired,	Dis	oosed of, o	or Ben	eficially	Owned			
Date			2. Transaction Date (Month/Day/Year	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code V		Amount (A) or Pr		Price	Transaction(s) (Instr. 3 and 4)		(
Common Stock ⁽¹⁾ 11/03/					A		1,793	A	\$41.82	11,396	D		

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option ⁽²⁾	\$41.82	11/03/2021		A		5,523		11/03/2022	11/03/2031	Common Stock	5,523	\$0.0000	5,523	D	

Explanation of Responses:

1. All of the securities acquired were received in the form of unvested restricted stock units issued under the Issuer's Stock Incentive Plan. These securities will vest on November 3, 2022. 2. All of the securities acquired were issued under the Issuer's Stock Incentive Plan.

> <u>/s/ Emily S. Zahler, Assistant</u> <u>Corporate Secretary, pursuant</u> <u>to a power of attorney filed</u> <u>with the Commission</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.