SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre <u>Kahn Todd</u>	ess of Reporting	Person [*]		uer Name and Ticke PESTRY, INC.		ymbol		tionship of Reporting all applicable) Director	10% C	Owner	
(Last) 10 HUDSON Y	(First) ARDS	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 08/19/2022				Officer (give title Other (specify below) below) CEO and Brand President, Coach			
(Street)			4. If A	mendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv Line)	idual or Joint/Group	Filing (Check A	oplicable	
NEW YORK	NY	10001					X	Form filed by One			
(City)	(State)	(Zip)						Form filed by Mor Person	e than One Rep	orting	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
Date		2. Transaction Date (Month/Day/Year	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) Disposed Of (D) (Instr. 3,		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

		(wonth/Day/rear)	0)					Reported	(1) (111507.4)	(Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(IIISU: 4)
Common Stock ⁽¹⁾	08/19/2022		F		2,103	D	\$36.83	177,124	D	
Common Stock ⁽²⁾	08/19/2022		F		2,389	D	\$36.83	174,735	D	
Common Stock ⁽³⁾	08/22/2022		Α		14,120	A	\$35.41	188,855	D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										

1. Title of Derivative 7. Title and Amount of Securities 11. Nature of Indirect 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 8. Price of 9. Number of 10. Conversion Transaction Date Execution Date Expiration Date (Month/Day/Year) Ownership Derivative derivative (Month/Dav/Year) Underlying Derivative Security (Instr. 3 and 4) Form: Direct (D) or Indirect Security (Instr. 3) or Exercise if any Code (Instr. 8) Derivative Security (Instr. 5) Securities Beneficial Beneficially Owned Price of (Month/Day/Year) Securities Ownership (Instr. 4) Derivative Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Following Reported Transaction(s) Security (I) (Instr. 4) (Instr. 4) Amount Number Date Expiration of Exercisable Code ٧ (A) (D) Date Title Shares Stock \$35.41⁽⁵⁾ 08/22/2022 Commor 83,368 08/22/2023(6) 08/22/2032 83,368 \$0.0000 83,368 D Α Option⁽⁴⁾ Stock

Explanation of Responses:

C C C

1. These shares were withheld to pay the taxes in connection with the vesting of restricted stock units.

2. These shares were withheld to pay for the taxes in connection with the vesting of performance restricted stock units on August 19, 2022, for which performance was certified on August 23, 2021.

3. These securities were acquired in the form of unvested restricted stock units issued under the Issuer's Stock Incentive Plan. These securities will vest in four equal tranches on the first, second, third and fourth anniversaries of the date of grant. The first tranch will vest on August 22, 2023, the second on August 22, 2024, the third on August 22, 2025 and the fourth on August 22, 2026.

4. These securities were issued under the Issuer's Stock Incentive Plan.

5. These securities will convert on a 1-for-1 basis into shares of the issuer's common stock.

6. These service-based securities vest in four equal installments on the first, second, third and fourth anniversaries of the date of grant. The first tranch will vest on August 22, 2023, the second on August 22, 2024, the third on August 22, 2025 and the fourth on August 22, 2026.

/s/ Emily S. Zahler, Assistant Corporate Secretary, pursuant to a power of attorney filed with the Commission ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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